



PATENT  
008193-20001

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**William M. PARROTT**

Serial No: 09/385,607

Filed: August 30, 1999

For: **SECURE TRANSACTION MODEM**

Art Unit: 2766

Examiner: Not yet assigned

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION**

Box MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the "Notice to File Missing Parts of Application, Filing Date Granted," dated September 16, 1999, response to which is due November 16, 1999, enclosed are the following:

- ☒ A copy of the "Notice to File Missing Parts of Application Filing Date Granted".
- ☒ A "Declaration" executed by the inventor(s).
- ☐ An executed "Power of Attorney by Assignee".
- ☐ An executed "Verified Statement Claiming Small Entity Status".
- ☐ A "Petition for Extension of Time" for \_\_\_ month(s) and a check for the required fee in the amount of \$\_\_\_\_.
- ☒ A check in the amount of \$908.00 in payment of the filing fee and to cover the surcharge.

The Commissioner is authorized to charge any additional amounts due in connection with this matter to our Deposit Account No. 12-1820. (A copy of this letter is enclosed).

Respectfully submitted,

Date: 14 October, 1999

By: 

WILLIAM H. WRIGHT  
Registration No. 36,312  
Attorney for Applicant

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10100 Santa Monica Blvd., 22nd Floor  
Los Angeles, California 90067-4164  
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Facsimile: 310-282-2192

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington D.C. 20231, on

October 14, 1999

Date of Deposit

William H. Wright

Name



Signature

10/14/99

Date



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#3

Section

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/385,607	08/30/99	PARROTT	W 008193-20001

LOEB & LOEB  
10100 SANTA MONICA BLVD  
SUITE 2200  
LOS ANGELES CA 90067-4164

NOT ASSIGNED

2766

DATE MAILED:

09/16/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 908

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 260 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 18 for 1 total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

10/20/1999 ZABDALLA 00000111 09385607  
760.00 OP  
130.00 OP  
18.00 OP  
01 FC:101  
02 FC:105  
03 FC:103